

Case No. 03GR029(54517)

10/511712  
DT01 Rec'd PCT/PTO 15 OCT 2004

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

In re Application of: **GENDRIER ET AL.**  
Serial No.: **NOT YET ASSIGNED**  
Filed: **HEREWITH**  
For: **ELECTRICALLY ERASABLE AND PROGRAMMABLE,  
NON-VOLATILE, SEMICONDUCTOR MEMORY DEVICE  
HAVING A SINGLE LAYER OF GATE MATERIAL, AND  
CORRESPONDING MEMORY PLANE**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

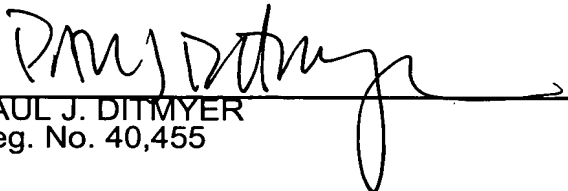
1. ☒ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$ 130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

Date: October 15, 2004

  
PAUL J. DITMYER  
Reg. No. 40,455

DTOT Rec'd PCT/PTO 10/511712  
15 OCT 2004  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
GENDRIER ET AL. )  
Serial No. NOT YET ASSIGNED )  
Filing Date: HEREWITH )  
For: ELECTRICALLY ERASABLE AND )  
PROGRAMMABLE, NON-VOLATILE, )  
SEMICONDUCTOR MEMORY DEVICE )  
HAVING A SINGLE LAYER OF GATE )  
MATERIAL, AND CORRESPONDING )  
MEMORY PLANE )

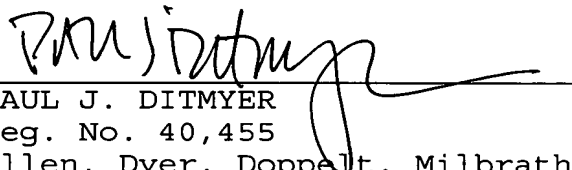
CITATION UNDER 37 CFR §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

Respectfully submitted,

  
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<b>SUBSTITUTE FORM PTO-1449A</b> <b>LIST OF PATENTS AND</b> <b>APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>	Atty Docket: Serial No.: Applicant: Filing Date: Group:	03GR029(54517) NOT YET ASSIGNED GENDRIER ET AL. HEREWITH
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U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	AA	5,761,121	06/02/98	Chang	365	185	10/31/96
	AB	6,025,625	02/15/00	Chi	257	315	02/25/99
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation
	AM	0 623 959	4/20/94	EP	H01L	29/788	
	AN	00/60672	10/12/00	WO	H01L	29/788	
	AO						
	AP						
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	AQ						
	AR						
	AS						

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	